

11-29-01

PCT

JC07 Rec'd PCT/PTO 27 NOV 2001

FORM PTO 1390
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

DNAG 226 - PFF/JRC

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/980037

INTERNATIONAL APPLICATION NO.

PCT/EP00/03391

INTERNATIONAL FILING DATES

14 April 2000

PRIORITY DATE CLAIMED

2 June 1999

TITLE OF INVENTION

METHOD OF PRODUCING FOAMED SLAG

APPLICANT(S) FOR DO/EO/US

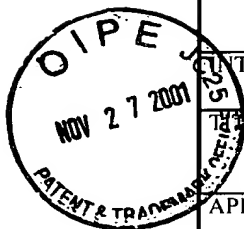
Djamschid AMIRZADEH-ASL and Dieter FUNDERS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information: PCT/IPEA/416; PCT/ISA/210



U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">09/980037</div>		INTERNATIONAL APPLICATION NO. PCT/EP00/03391		ATTORNEY'S DOCKET NUMBER DNAG 226- PFF/JRC																
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): <div style="margin-top: 5px;"><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO And International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO And all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</div> <div style="margin-top: 5px;">ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$ 130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</div>				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div> <table style="width:100%; border-collapse: collapse;"><tr><td style="width:10%; text-align: right;">\$</td><td style="width:40%; text-align: right;">890.00</td><td style="width:50%;"></td></tr><tr><td style="text-align: right;">\$</td><td style="text-align: right;">130.00</td><td></td></tr></table>		\$	890.00		\$	130.00										
\$	890.00																			
\$	130.00																			
<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th></tr></thead><tbody><tr><td>Total claims</td><td style="text-align: center;">1-20 =</td><td style="text-align: center;">0</td><td style="text-align: center;">X</td></tr><tr><td>Independent claims</td><td style="text-align: center;">1-3 =</td><td style="text-align: center;">1</td><td style="text-align: center;">X</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td><td style="text-align: center;">X</td></tr></tbody></table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	1-20 =	0	X	Independent claims	1-3 =	1	X	MULTIPLE DEPENDENT CLAIM(s) (if applicable)			X	TOTAL OF ABOVE CALCULATIONS = \$ 1020.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																	
Total claims	1-20 =	0	X																	
Independent claims	1-3 =	1	X																	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			X																	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above Are reduced by 1/2.				\$																
SUBTOTAL =				\$	1020.00															
Processing fee of \$ _____ for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				\$																
TOTAL NATIONAL FEE =				\$	1020.00															
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment Must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) (_____ per property).				\$	00															
TOTAL FEES ENCLOSED =				\$	1020.00															
				Amount to be Refunded:	\$															
				Charged:	\$															
a. <input checked="" type="checkbox"/> A check in the amount of \$ 1020.00 to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 50-0624 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit Any overpayment to my Deposit Account No. 50-0624 . A duplicate copy of this sheet is enclosed.																				
<div style="display: flex; justify-content: space-between;"><div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</div><div style="text-align: right;"><div style="font-size: 1.5em; font-family: cursive; margin-bottom: 5px;">James R. Crawford</div><div style="border-bottom: 1px solid black; display: inline-block; width: 150px;"></div><div style="margin-top: 5px;">SIGNATURE: James R. Crawford</div><div style="border-bottom: 1px solid black; display: inline-block; width: 100px;"></div><div style="margin-top: 5px;">NAME</div></div></div>																				
<div style="display: flex; justify-content: space-between;"><div>SEND ALL CORRESPONDENCE TO: James R. Crawford FULBRIGHT & JAWORSKI L.L.P. 666 Fifth Avenue New York, New York 10103 (212) 318-3148 Customer No. 24972</div><div style="text-align: right;">39,155</div></div>																				

09/980037

JC Sec'd PCT/PTO 27 NOV 2001

Certificate of Express Mail

This mail is being sent by Express Mail No. **EL 829643051 US**
In an envelope addressed to: Commissioner of Patents and Trademarks
Washington, D.C. 20231 Box PCT
On November 27, 2001

By: Eileen Sheffield

Eileen Sheffield